Advanced Method for Measurement of the Solid Carbonaceous (Soot) Component of Mobile Source Particulate Matter

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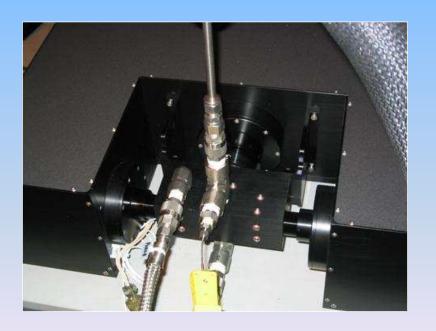
We gratefully acknowledge
Development Support by California Air Resources Board
Innovative Clean Air Technology (ICAT) Grant 06-03

LII Technology Licensed from NRC, Canada



Outline

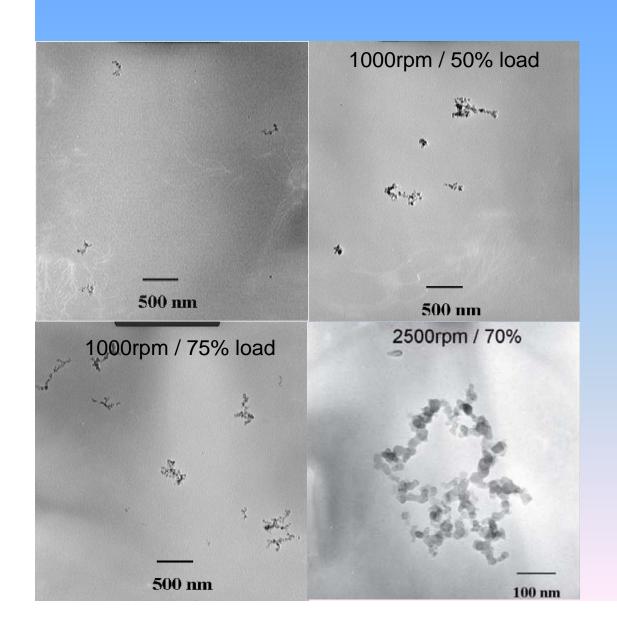
- Background
 - introduction
 - conventional laser-induced incandescence (LII) method
- Auto-compensating laser-induced incandescence (AC-LII) method
 - innovations
 - theory
 - experimental
- Applications
 - engine dyno measurements
 - chassis dyno measurements
 - on-road measurements
 - gas turbine measurements



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TEM images of nanoparticles sampled from a Diesel exhaust



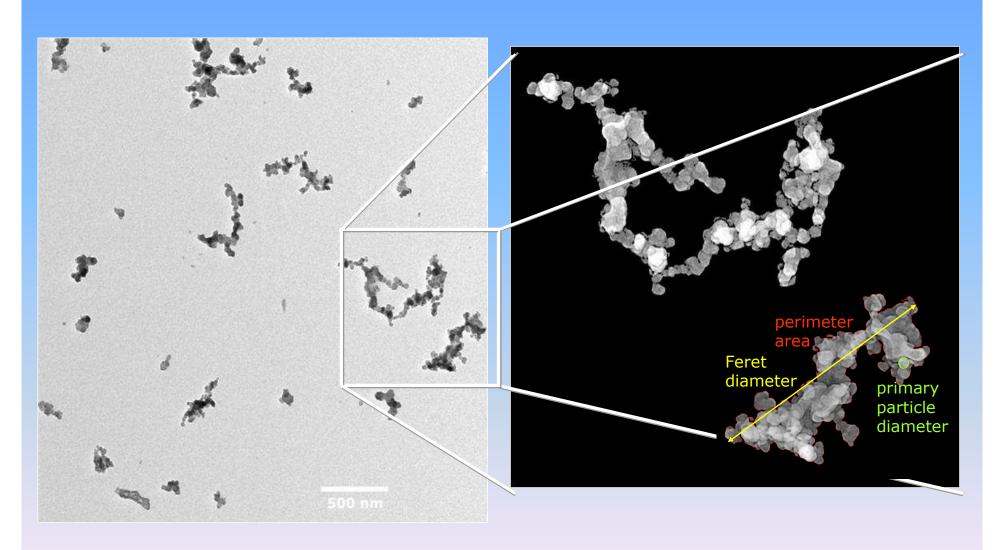
- soot
- carbon black
- black carbon
- elemental carbon
- refractory carbon

"Life exists in the universe only because the carbon atom possesses certain exceptional properties"

James Jeans

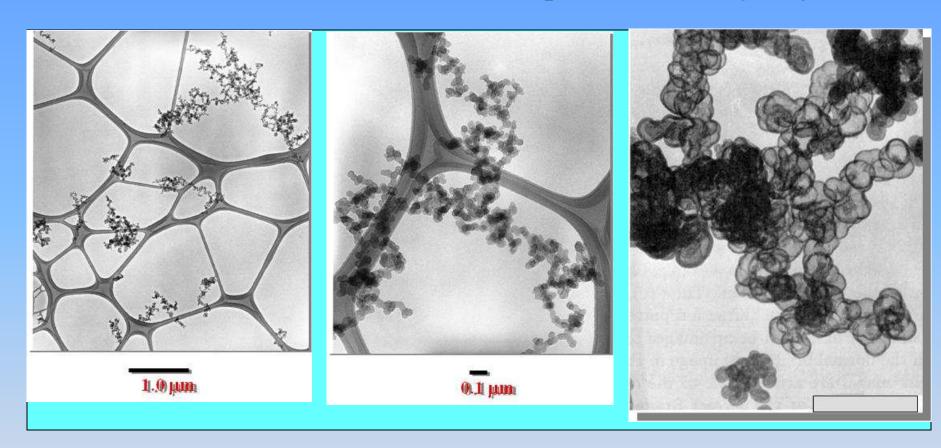


TEM Imaging of Flame Soot





Transmission Electron Microscope (TEM) Images of Soot



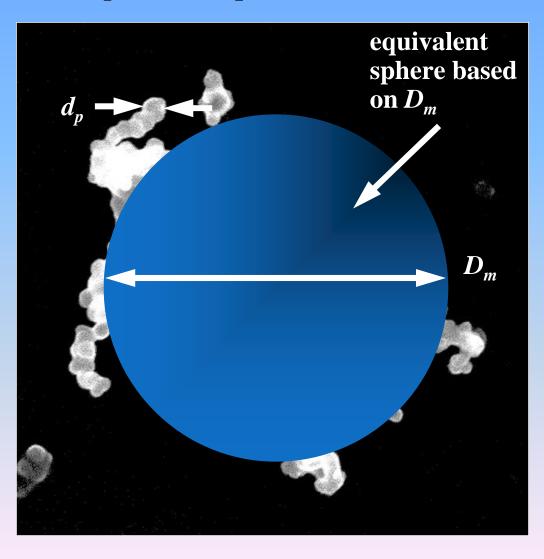
Morphology

- nearly-spherical primary particles 20-50 nm in diameter
- SOF absorbed onto the surface of the primary particles
- Primary particles cluster into chain-like aggregates



SEM Image of Flame Soot

Others assume an "equivalent sphere diameter", what's the density?





What Does LII Do?

- Quantitative measurement for dry soot:
 - concentration (0.5 ppt 10 ppm volume; 1ug/m³ 20g/m³ mass)
 - active surface area $(50 200 \text{ m}^2/\text{g})$
 - primary particle diameter (typically 5-50 nm)
 - number density of primary particles
- Measurement features:
 - very high precision and repeatability
 - transient concentration
 - nonintrusive (dilution unnecessary)
 - wide range of applicability
 - potential standardized method
 - measures soot
 - uninfluenced by the presence of other species

Technology Licensed from NRC, Canada





NRC Canada/ Artium LII Innovations

- Absolute intensity (patented)
 - spectral radiance calibration
- Real-time two-color pyrometry (patented)
 - particle temperature
- Laser beam profile (patented)
 - uniform heating
- Low laser fluence (patented)
 - no sublimation



Science Behind LII





National Research Conseil national de recherches Canada

Canada

2005

2006

2008

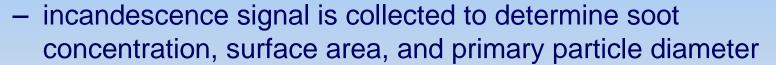
Artium

Tadinologies Inc.

LII Concepts

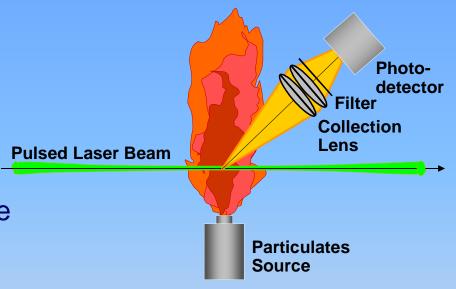
LII experiment:

- pulsed laser beam
- rapid heating of soot to evaporation temperature
- soot radiates incandescence as it cools to ambient temperature



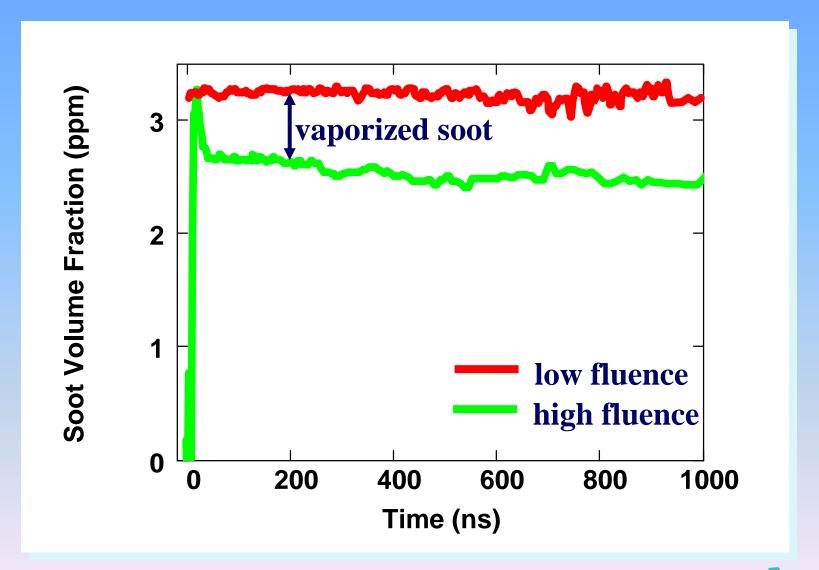
LII theory

 a state-of-the-art numerical model of nanoscale (time and space) heat transfer to and from the particles





Soot Volume Fraction - Fluence Effects





Auto-Compensating LII (AC-LII)

- Two-color pyrometry coupled with LII to determine the timeresolved particle temperature
 - permits use of low-fluence
 - particles are kept below the sublimation temperature
- This new technique automatically compensates for any changes in the experimental conditions
 - fluctuations in local ambient temperature
 - variation in laser fluence
 - laser beam attenuation by the particulate matter
 - desorption of condensed volatile material



Soot Particle Heat Transfer Equation

$$\frac{\pi D^{3}}{6} \rho_{s} c_{s} \frac{dT}{dt} = C_{a} q - \frac{2 k_{a} (T - T_{0}) \pi D^{2}}{(D + G \lambda_{MFP})} + \underbrace{\frac{H_{v}}{M_{v}} \frac{dM}{dt}}_{V} + \underbrace{q_{rad}}_{V}$$

- I change in internal energy
- II laser heating
- III heat transfer to surrounding gas
- IV soot sublimation
- V radiative heat loss



Particulate Concentration

Determine calibration factor

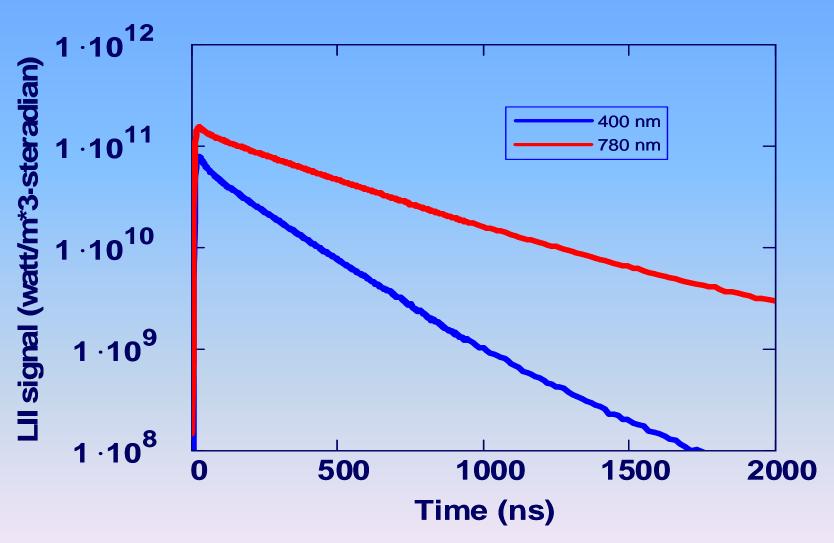
$$\eta(\lambda) = \frac{V_{CAL}(\lambda)}{R_{S}(\lambda, T)}$$

• Measure incandescence, $P_p(\lambda)$, at two wavelengths and solve for temperature, T

$$\frac{P_p(\lambda_1)}{P_p(\lambda_2)} = \frac{\lambda_2^6}{\lambda_1^6} \frac{\left(e^{\frac{hc}{k\lambda_2T}} - 1\right)}{\left(e^{\frac{hc}{k\lambda_1T}} - 1\right)} \frac{E(m_{\lambda_1})}{E(m_{\lambda_2})}$$



Absolute LII Signals





Two-Color Pyrometry

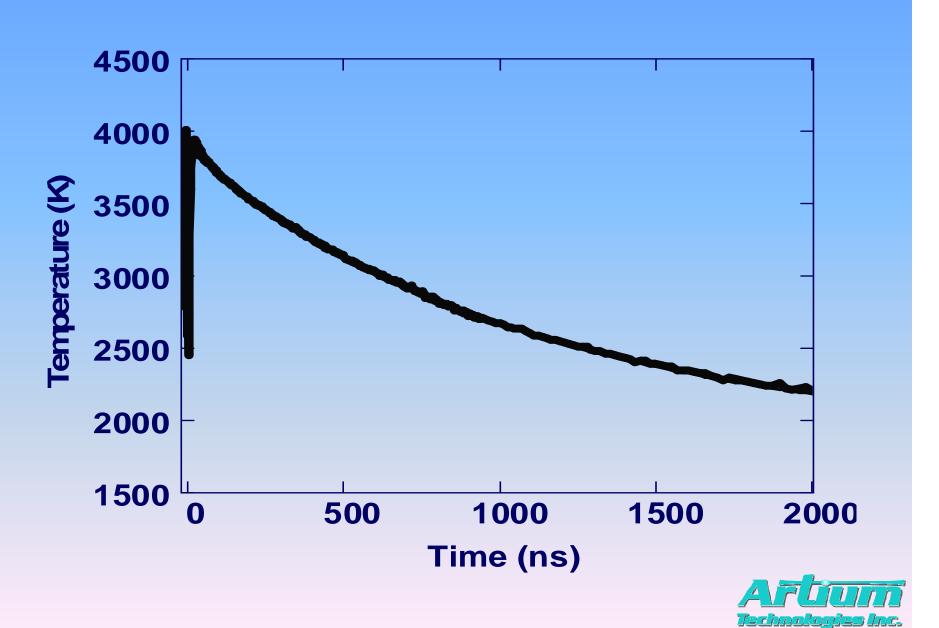
relative signal at two wavelengths:

$$\frac{V_{EXP}(\lambda_1)}{V_{EXP}(\lambda_2)} = \frac{\eta(\lambda_1)}{\eta(\lambda_2)} \cdot \frac{\lambda_2^6}{\lambda_1^6} \cdot \frac{\left(e^{\frac{hc}{k\lambda_2T}} - 1\right)}{\left(e^{\frac{hc}{k\lambda_1T}} - 1\right)} \frac{E(m_{\lambda_1})}{E(m_{\lambda_2})}$$

- where:
 - $-V_{EXP}$ is the LII measured signal (volts)
 - $-\lambda_1$ and λ_2 are the detection wavelengths for each channel
 - η is the calibration factor (relating measured volts to the source radiance)
 - h, c, and k are the Planck constant, velocity of light, and Boltzmann constant, respectively
 - T is the temperature (K)
 - E(m) is the absorption function, an optical property of soot
- the equation is solved to determine temperature



Real-Time Temperature



Particulate Concentration

• The particle (soot) volume fraction is known to be

$$f_{v} = n_{p} \cdot \frac{\pi d_{p}^{3}}{6}$$

 Combining the above equation with the calibration factor and the particle temperature leads to



Soot Volume Fraction

soot volume fraction:

$$f_{v} = \frac{V_{EXP}(\lambda)}{\eta(\lambda)w_{b}} \frac{\lambda^{6} \left(e^{\frac{hc}{k\lambda T}} - 1\right)}{12\pi c^{2}h E(m_{\lambda})}$$

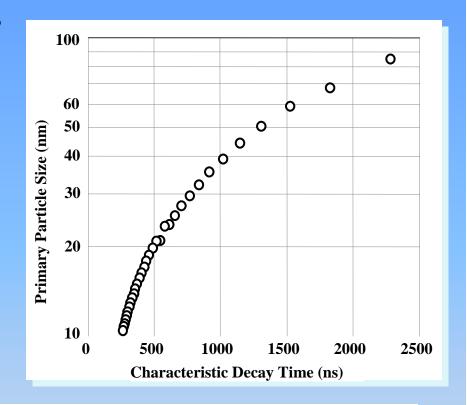
- where:
 - w_b is the laser sheet width, which determines the depth of the measurement volume
 - the other parameters are the same as described previously
- the area of the measurement volume is the same as the area observed with the calibration lamp, and all the optics and electronics (filters, lenses, photomultipliers, amplifiers, etc.) are the same for the calibration and the LII measurement
- note that the soot volume fraction is inversely proportional to E(m), the soot absorption function



Primary Particle Size

The temperature differential between the particle surface and the ambient gas decays steadily in an exponential manner

$$T - T_g = A \cdot e^{-t/\tau}$$

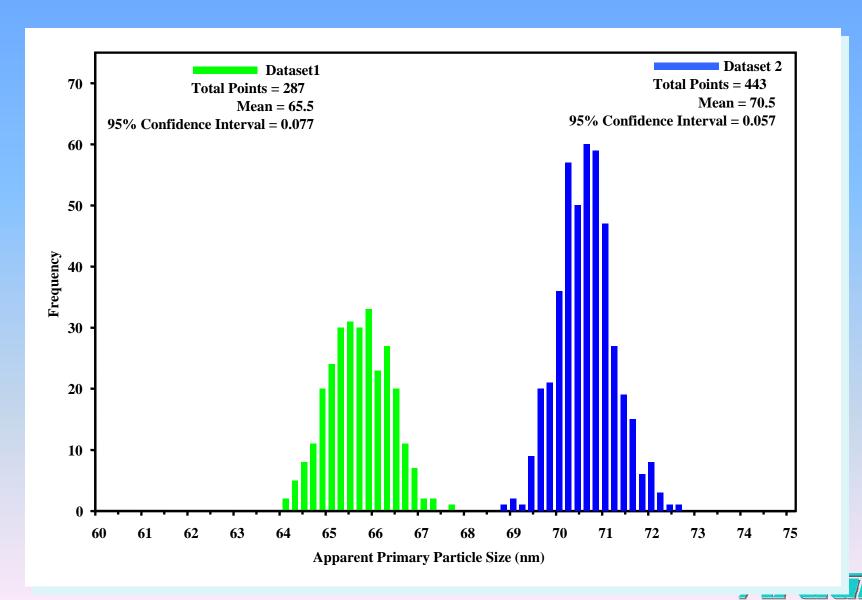


• The primary particle diameter may be inferred (McCoy and Cha, 1974)

$$d_p = \frac{12k_g\alpha}{G\lambda_{MFP}c_p\rho_p\tau}$$
 Characteristic decay time



Soot primary Particle Size at Two Combustion Conditions Carbon Black Production



Primary Particle Size: Discussion

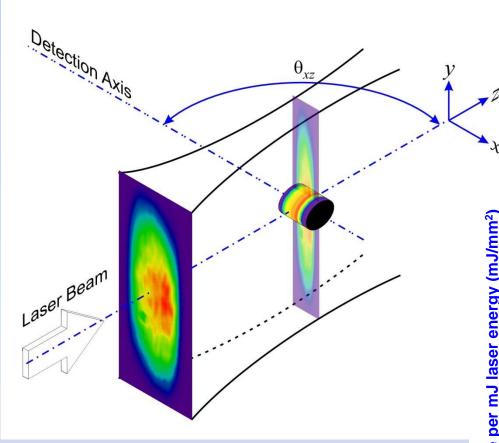
- the number of primary particles is also determined
- primary particle size can also be used to determine active surface area
- aggregate size is of greater interest from the health, environment, and regulation perspectives
- knowledge of primary particle size and number provides insight about aggregate morphology



What Do We Need to Know in Advance?

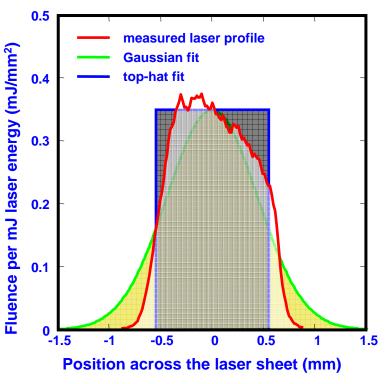
- calibration source
 - spectral radiance
- optics
 - absolute optical filter transmission
 - relative dichroic mirror reflectivity
 - relative interference filter transmission
- electronics
 - relative photodetector sensitivity
 - photodetector gain
 - amplifier gain
- dimensions of probe volume
- laser spatial fluence profile

Laser Light Beam Spatial Profile



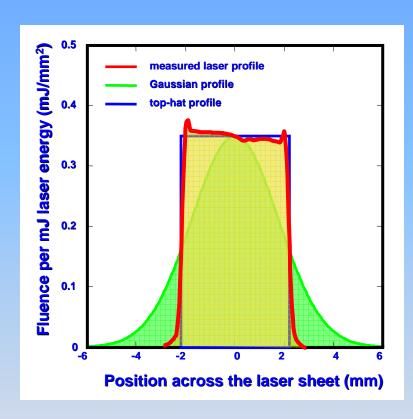
- ↑ Gaussian sheet
 - multimode "tophat" \Rightarrow

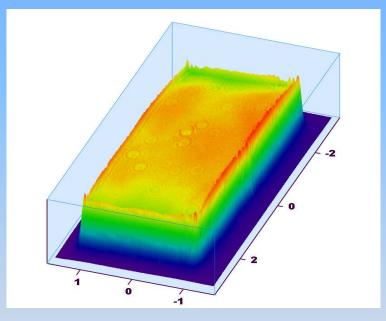
- each fluence level will heat particles to a different temperature
- ideal is a top-hat profile





Relay Imaging for Top Hat Profile

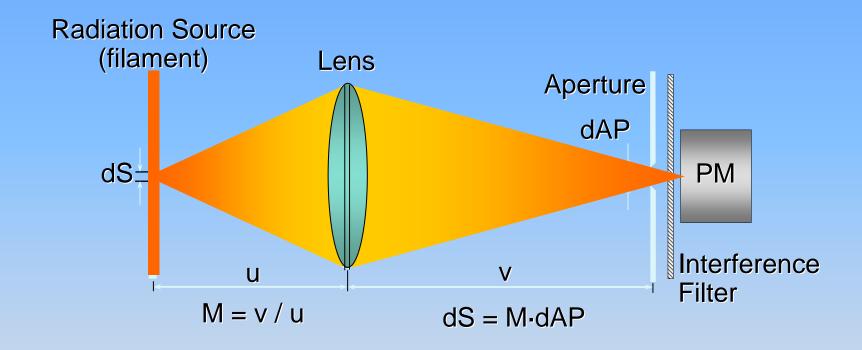




- place rectangular aperture in laser beam
- relay image aperture to probe volume location



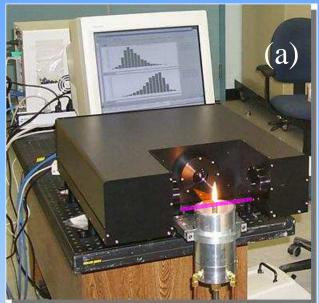
Absolute Intensity Calibration

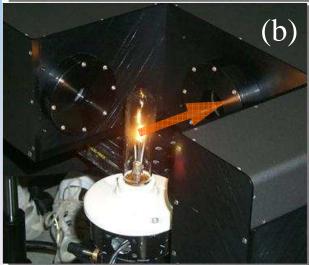


- use two-color pyrometry to determine the filament temperature
- use known filament radiant power incident on the aperture to calibrate the detection system (NIST-traceable spectral radiance calibration)



Artium Technologies LII Instrument



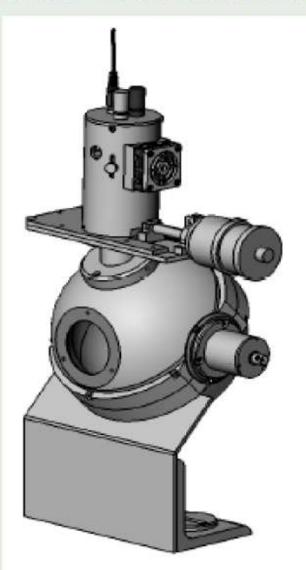


- a) Soot source in measurement volume (sampling cell removed to aid illustration)
- b) Lamp replacing soot as source of incandescence
- NIST traceable calibration procedure
 - lamp is a calibrated spectral radiance source, in Watts/m³-steradian
 - tungsten strip filament lamp is used
 - photomultiplier signal is recorded for a number of calibrated filament temperatures to ensure accurate calibration
 - a single calibration factor, η, is determined for each wavelength channel (400 nm and 780 nm)
 - electronic gain of photomultiplier (PM) is independently calibrated for different PM bias voltages



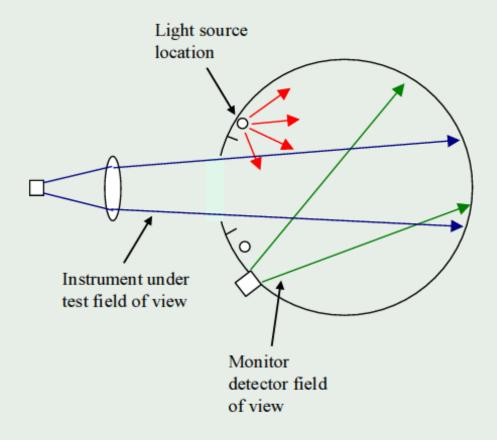
Luminance / Radiance Standards

- Traceable Luminance & Radiance Standards
- <1" to >24" Port Sizes
- Low-Light Level Calibration (Night Vision)
- CCT/Spectral Monitoring
- Variable Output Levels
- Custom Solution Designs





Radiance Standard Anatomy

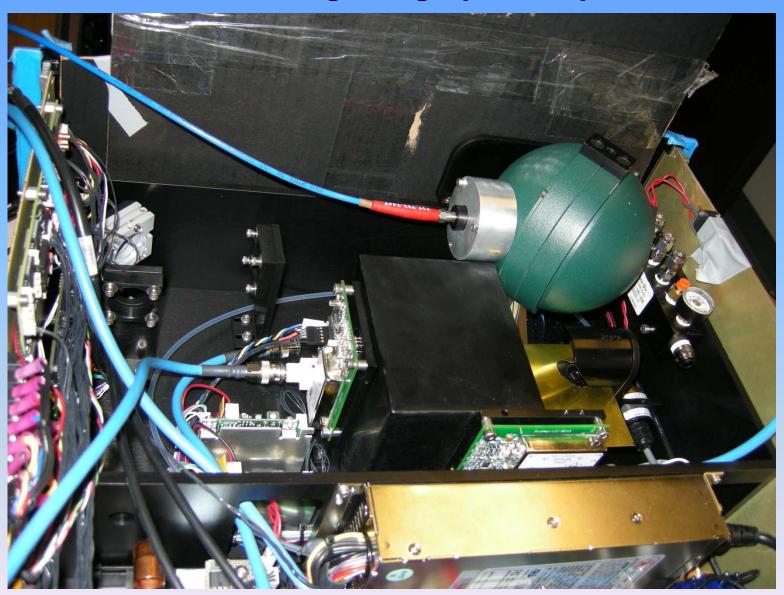


Sphere Radiance

$$= \frac{\phi_i}{\pi A_s} * \frac{\rho_0}{1 - \overline{\rho}}$$



Calibration with Integrating Sphere/Spectrometer





LII TESTING AND VALIDATION

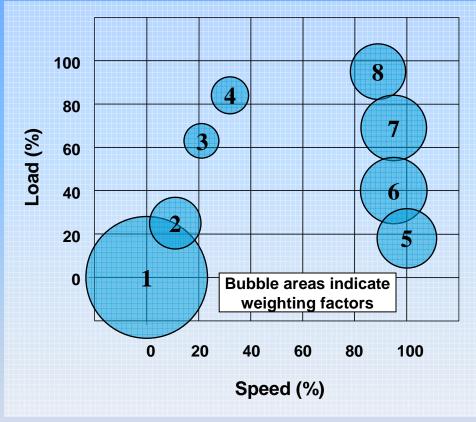
Testing Includes:

- Laboratory diesel engines
- HD Diesels on dynamometers
- On road testing of HD and LD Diesel emissions
- Turbine engine emissions
- Comparisons to Gravimetric and other optical and filter based methods



Applications – Engine dyno measurements

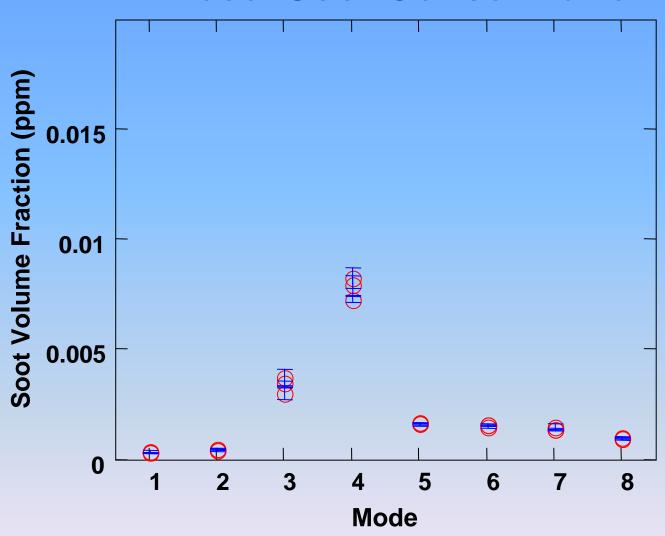




Ricardo Heavy Duty Diesel Engine AVL 8-Mode Steady-State Simulation



HD Diesel Soot Concentration





Single Cylinder Heavy-Duty Diesel Engine



Caterpillar 3401E (NRC Prototype 2004)

Cylinders

Volume 2.44 liters

Comp. Ratio 16.25:1 Peak Power 74.6 kW

(@1800 rpm)

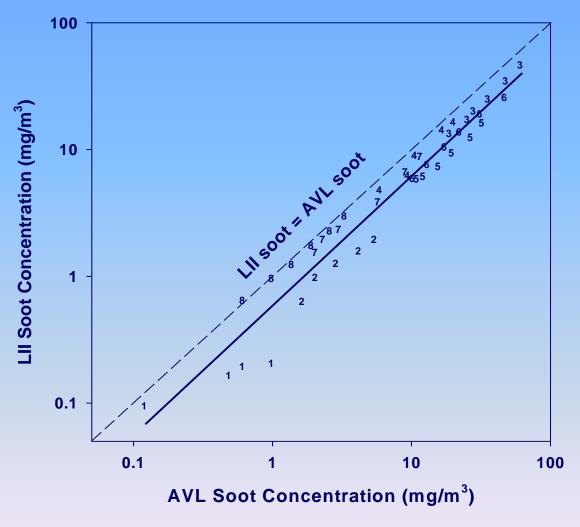
Valves 4

Injection EUI

EGR Cooled



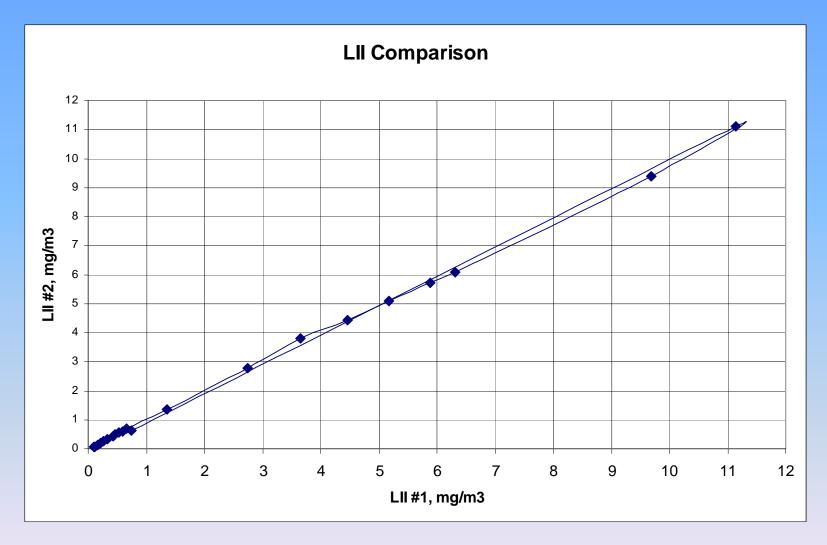
Diesel PM: Soot Concentration



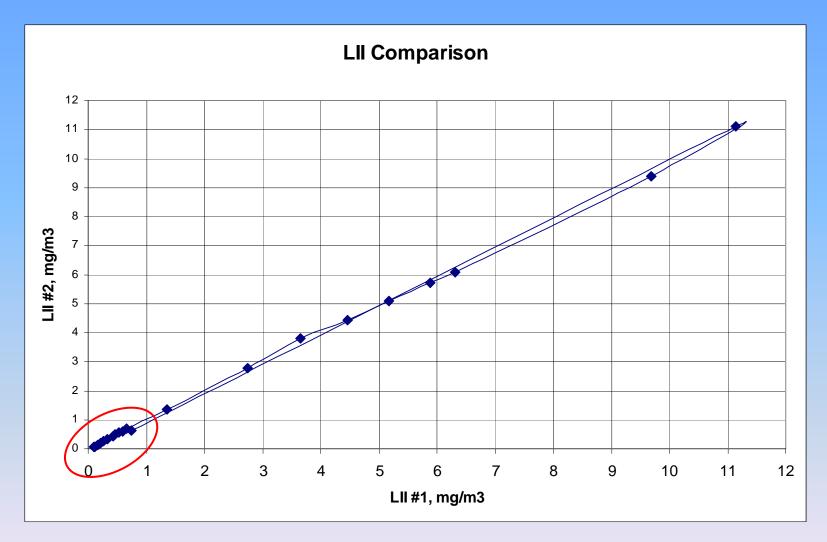
- LII and AVL Smoke
 Meter correlate well
 over a wide range of
 engine conditions
- more than two orders of magnitude variation in concentration
- evidence suggests that SOF plays a role, particularly at mode 1



LII-200 Instrument Comparison

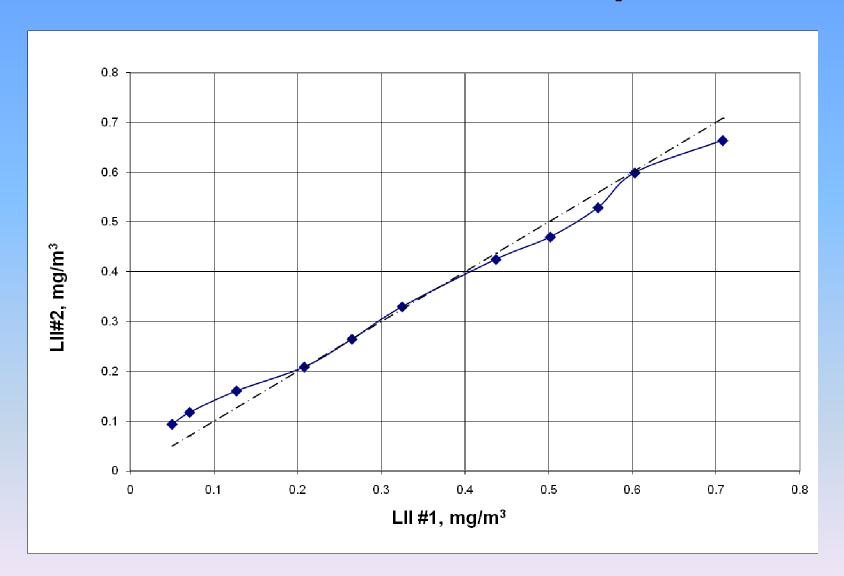


LII-200 Instrument Comparison



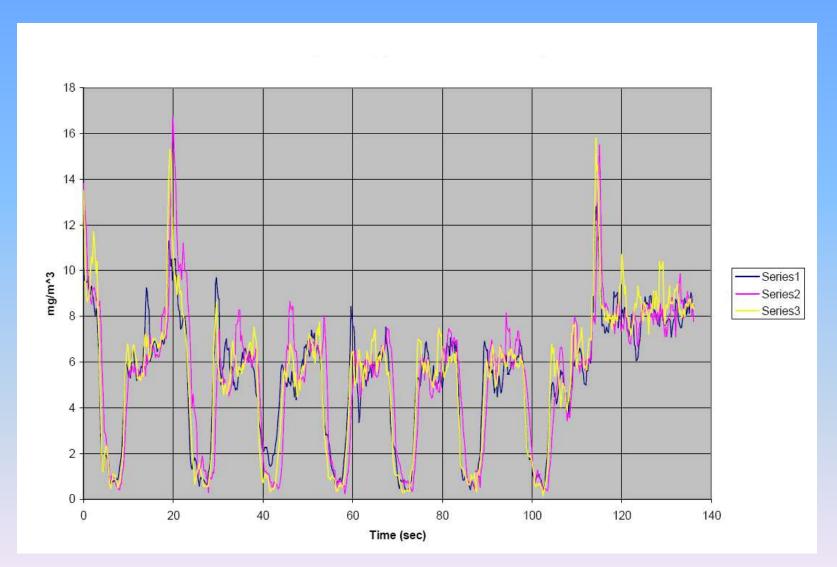


LII-200 Instrument Comparison



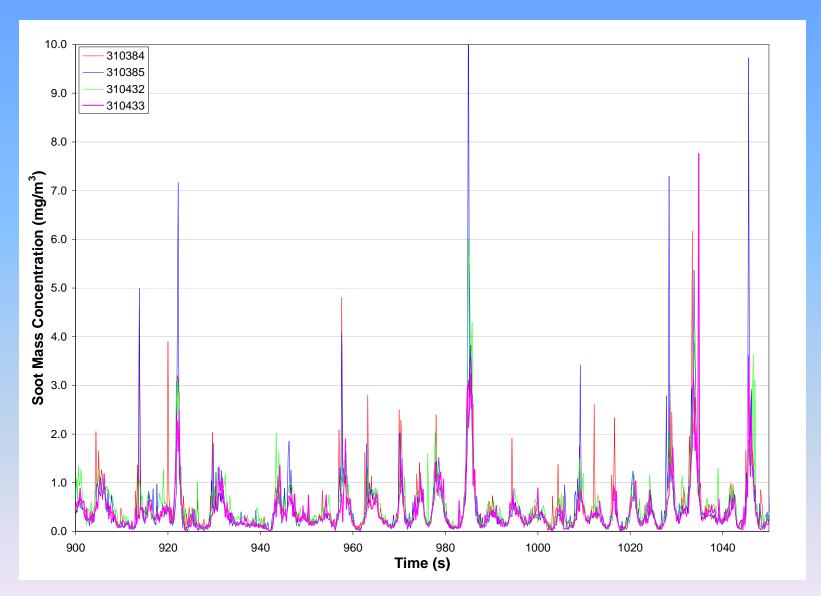


Diesel Cycle Measurement Repeatability



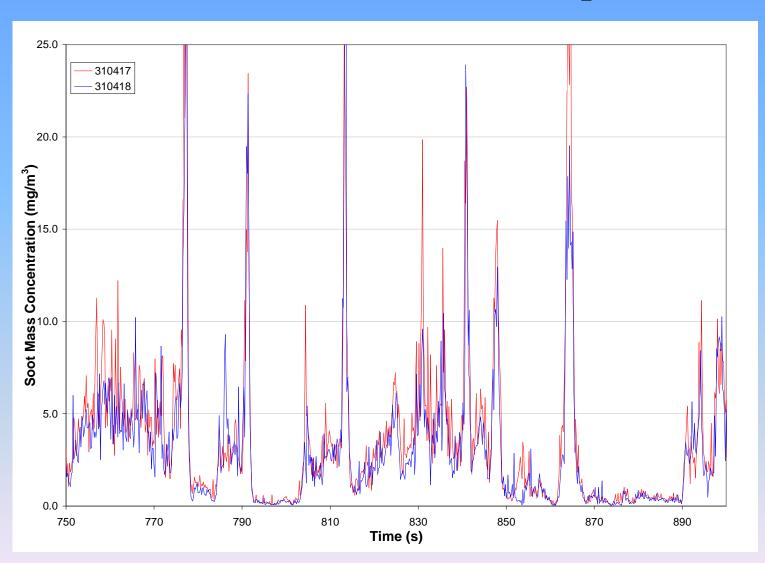


Section of Diesel FTP Cycle from Dilution Tunnel, 4 Repeats



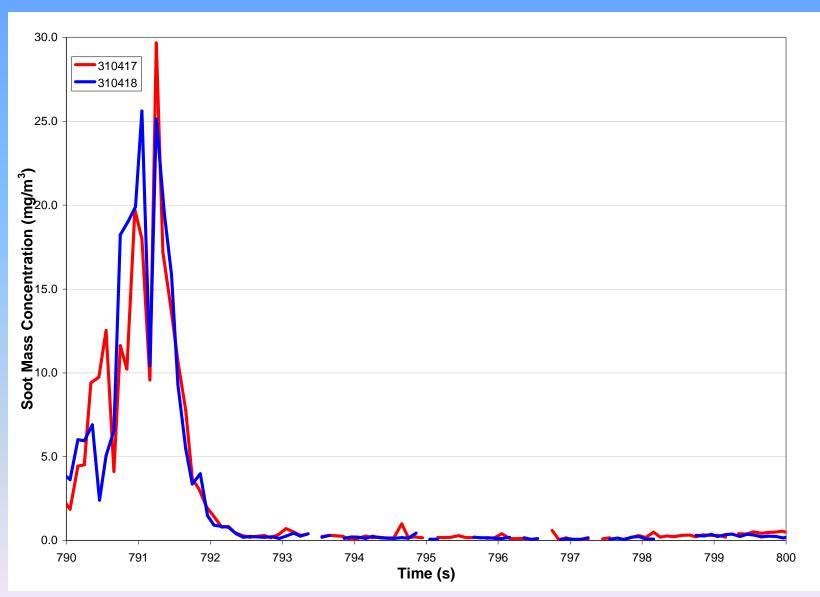


Raw Exhaust (600-750s – 2 repeats)





Raw Exhaust (790-800s – 2 repeats)





LII Optics Subjected to Mil Spec Vibration Testing

MIL-STD-810F Method 516.5 – Shock



Preparation for On road and Helicopter Flight Tests





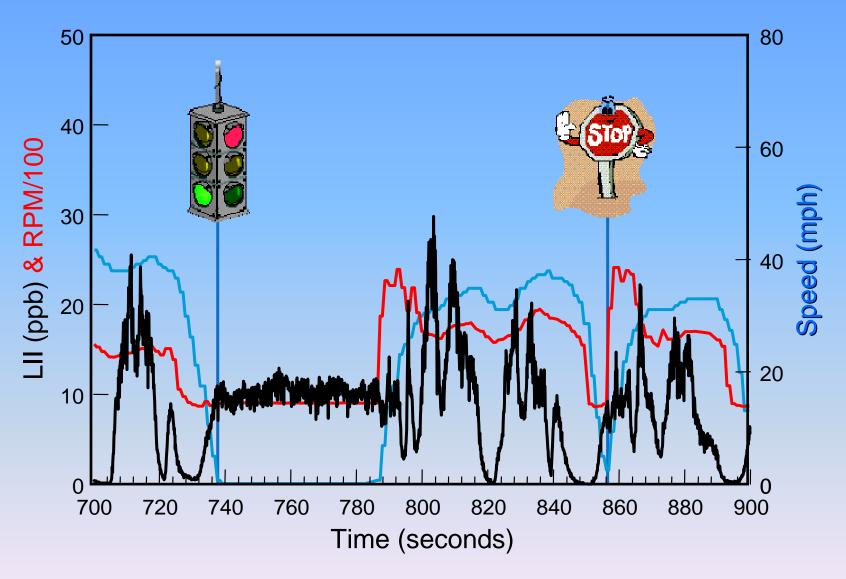


X Axis Y Axis Z Axis



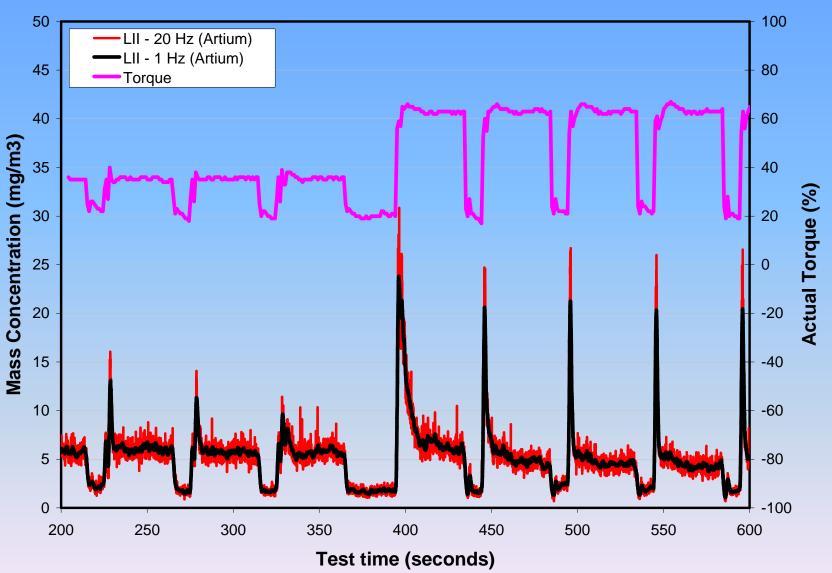


VW TDI: Stop-Start Urban Driving



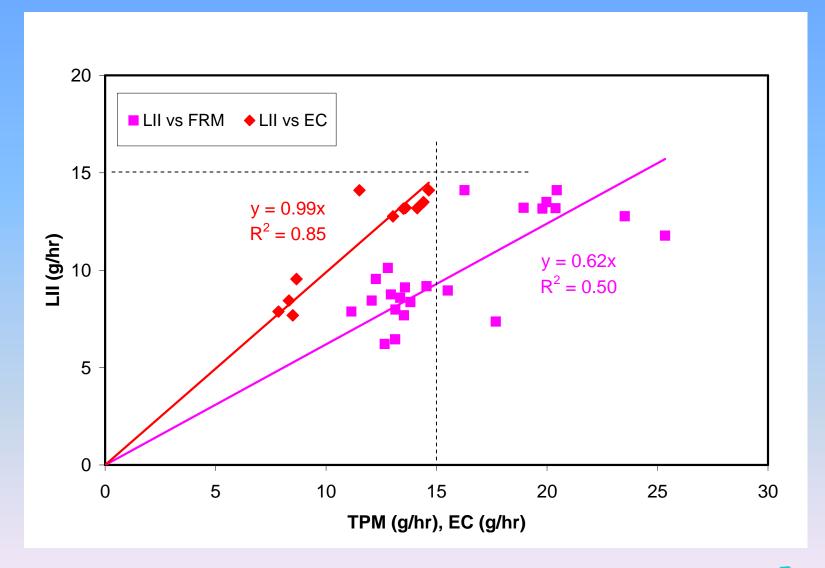


NTE Cycles with CARB



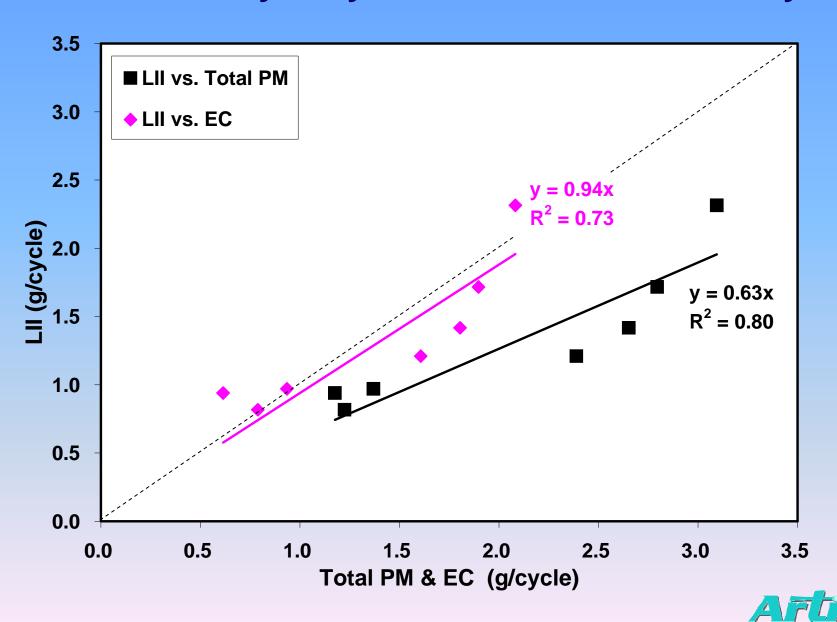


CARB: Heavy-Duty Diesel NTE Summary

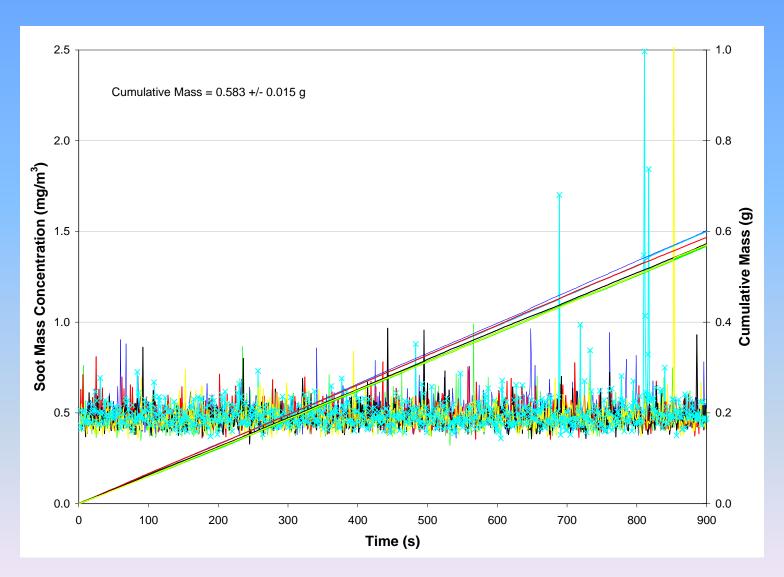




CARB: Heavy-Duty Diesel On-Road Summary



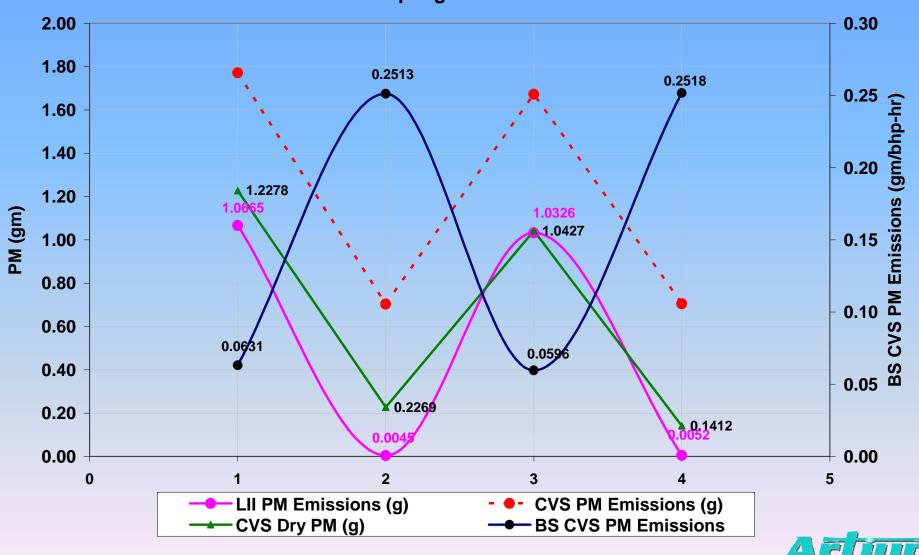
HDDM2 – Steady State – 6 Repeats





HDDM1 – Dilute Exhaust

LII Sampling Dilute Exhaust

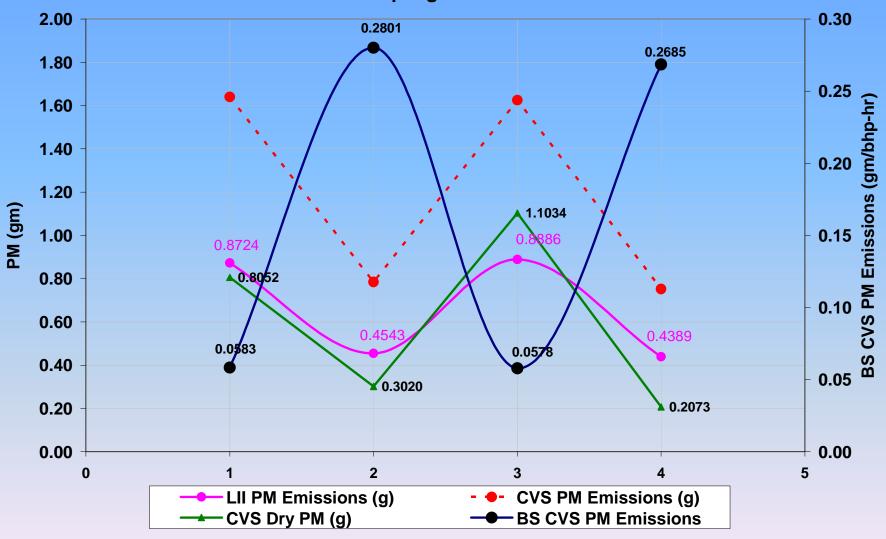


testing at Cummins



HDDM1 – Raw Exhaust

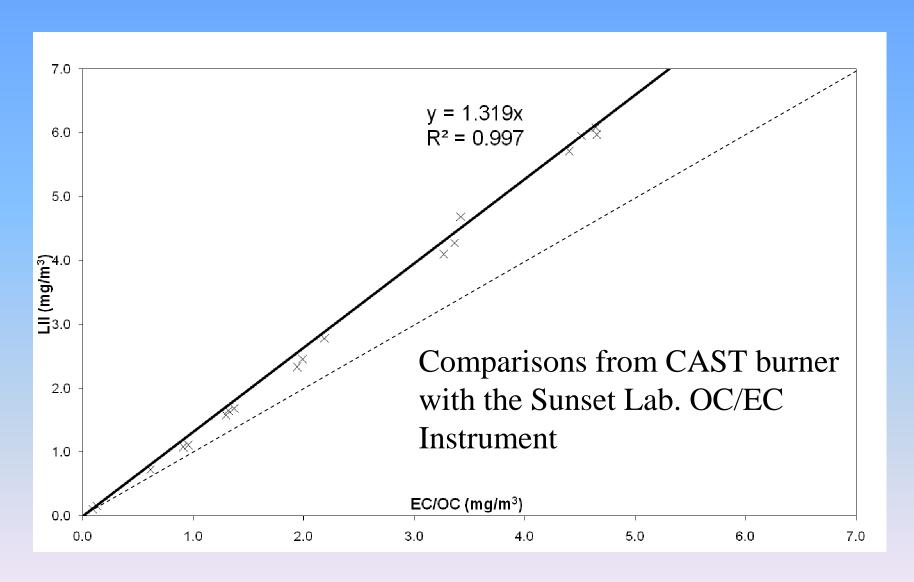
LII Sampling Raw Exhaust



testing at Cummins

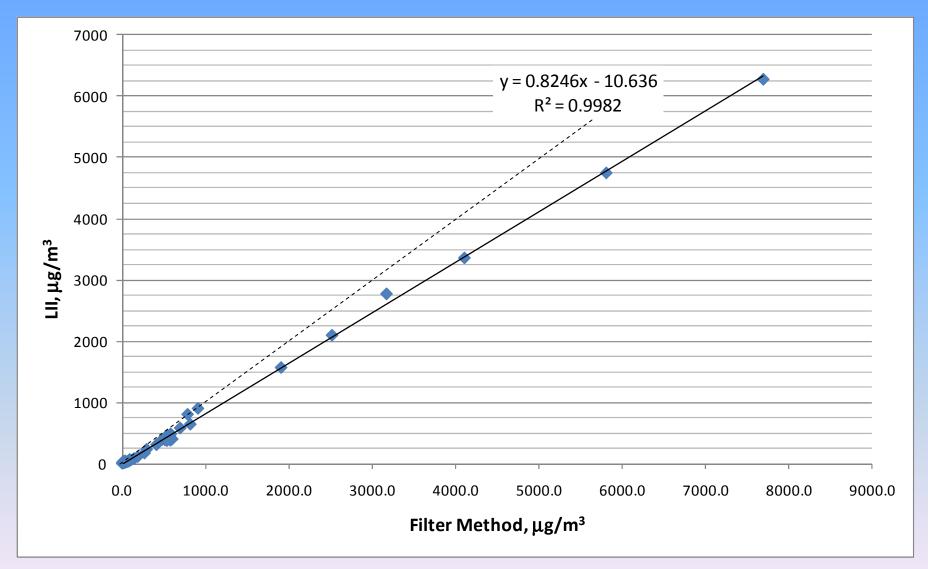


SwRI Instrument Comparisons



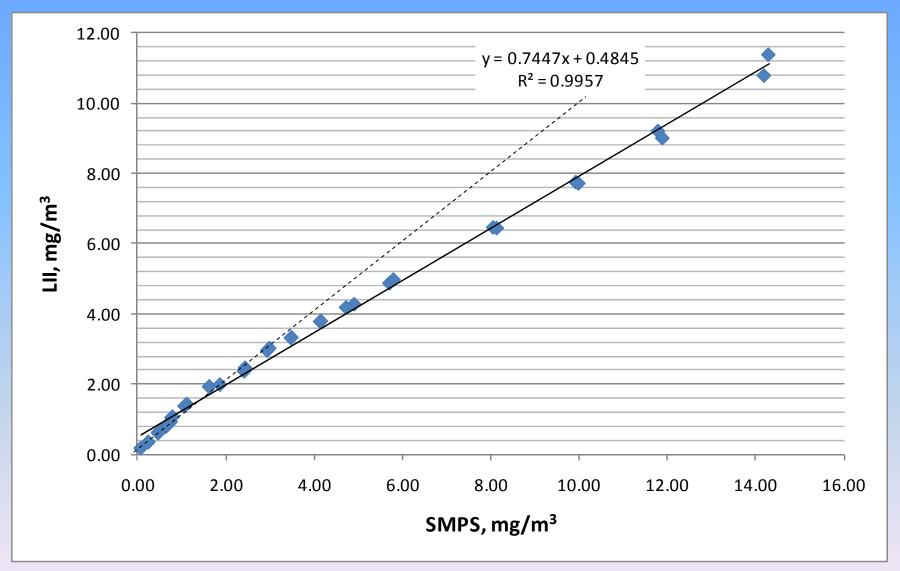


NASA Glenn Research Center tests conducted on soot from a mini-CAST burner in December 2009 prior to measuring gas turbine particulate emissions





NASA Glenn measurements of soot generated by the mini-CAST burner and compared to the TSI SMPS measurements.





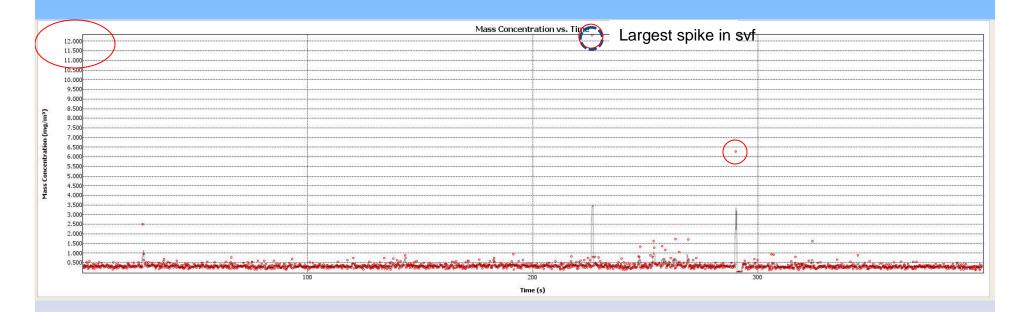
The Mystery of Inconsistent LII Measurements

Observations:

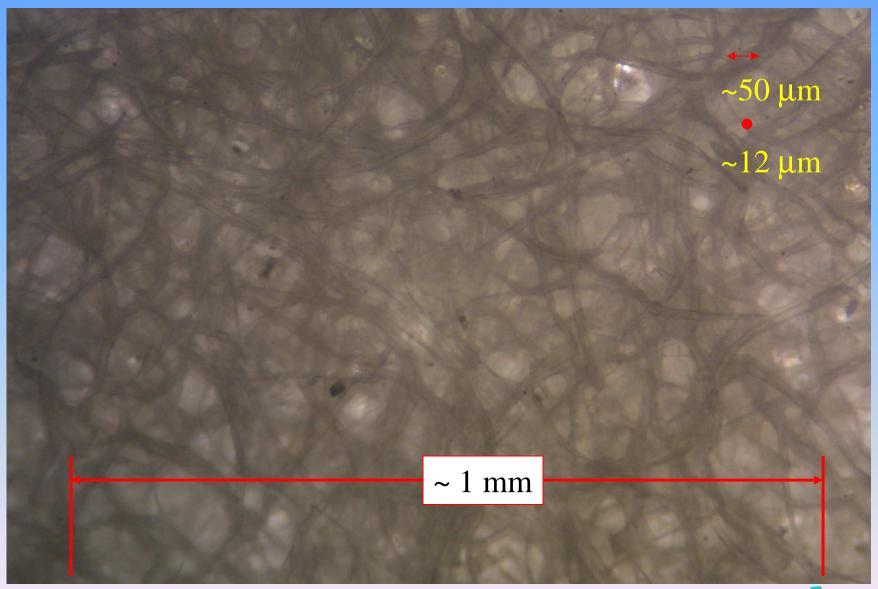
- During some tests, LII showed variances from run to run
- Poor agreement with gravimetric
- Poor agreement with MAAP and other filter-based instruments
- Large spikes in time svf history



Observation: SVF versus time plots show isolated spikes in the SVF values which cannot be accounted for as large concentrations of soot aggregates passing the sample volume. In the turbulent flow, such high concentration gradients do not exist for long.



Photograph of the Filter under an Optical Microscope



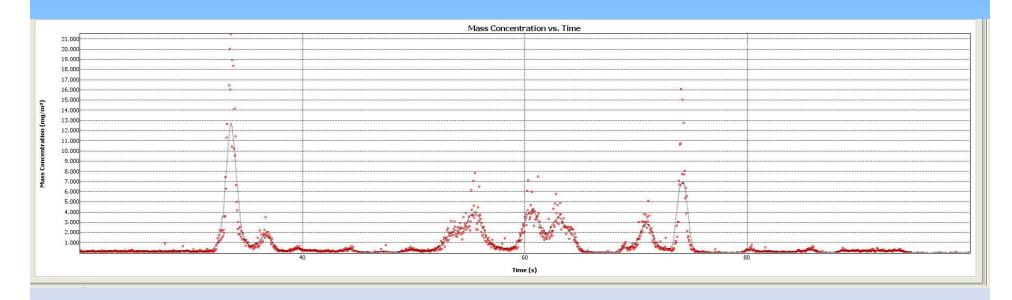
Sample contamination with large agglomerates of soot shed from sampling line surfaces





The Problem of Large Agglomerates

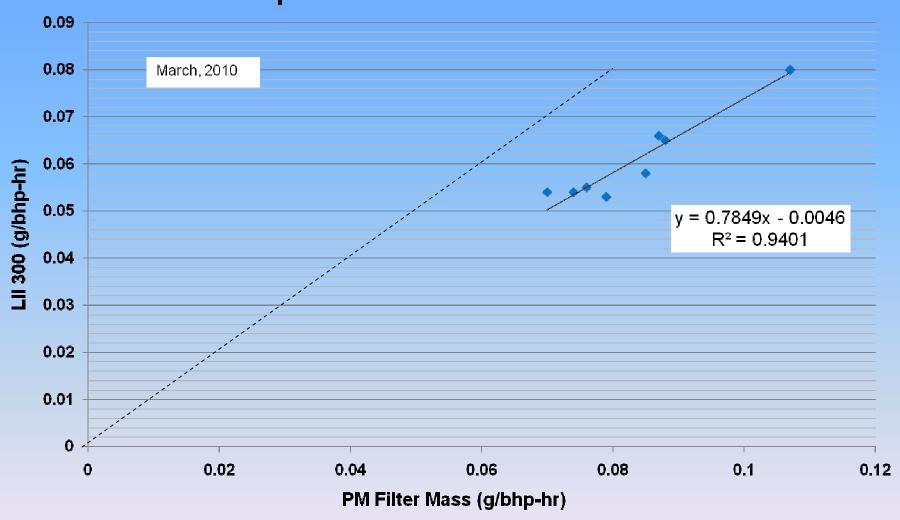
After cleaning the cyclone separator, a close observation of a section of the FTP cycle shows that the LII measurements consistently rise and fall shot to shot indicating that there are no individual spikes in the data. This implies that there are no large particles in the sample and so the results should agree with gravimetric.





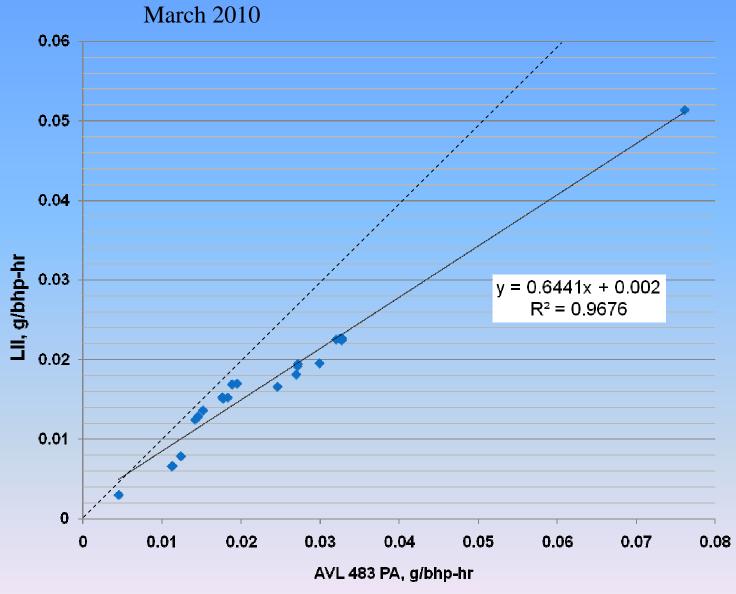
Recent Tests at Cummins

Comparisons of LII 300 and Gravimetric



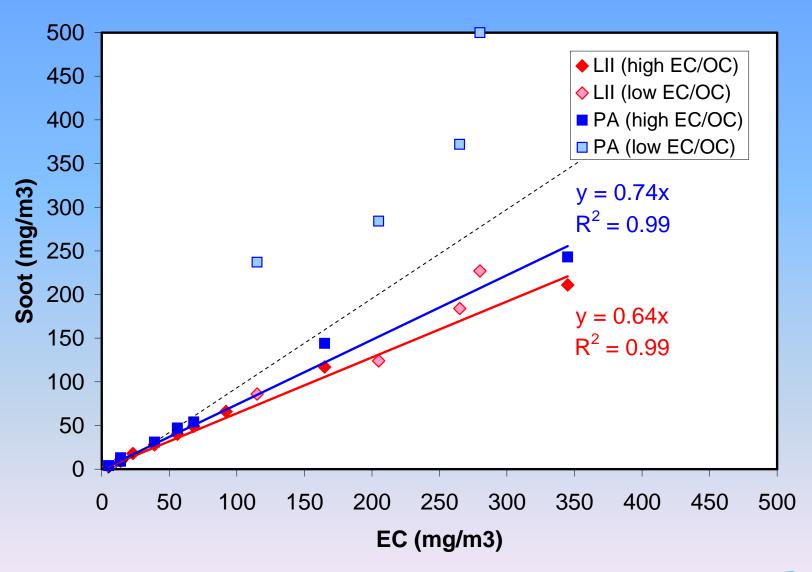


Cummins Instrument Comparisons





Calibration Curve for Conventional LII-to-Mass





Field Tests at the Port of Oakland Shipping Yards







LII 300 Setup for Field Testing



Test Location – Port of Oakland Overpass to Shipyards



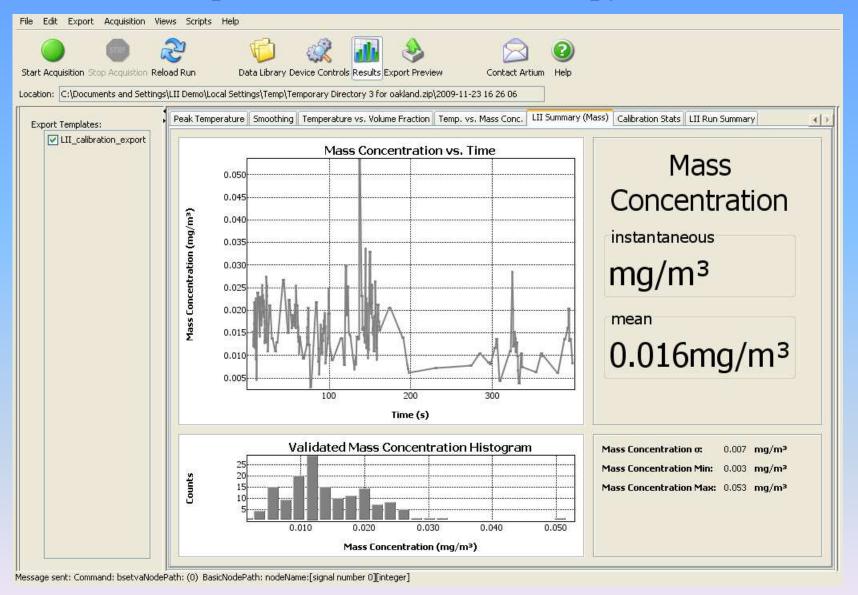


Atmospheric Sampling In Neighborhood of Port of Oakland



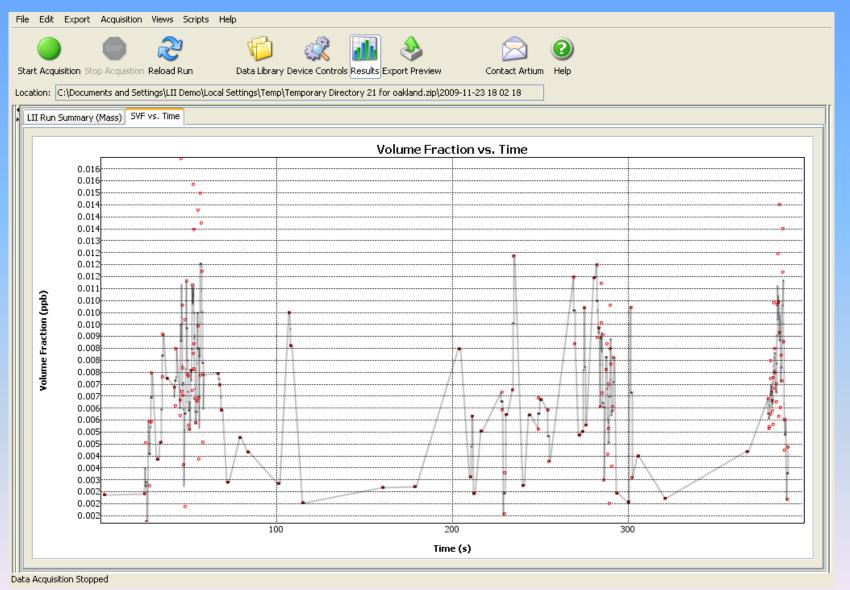


Sample Data From Oakland Shipyard Tests



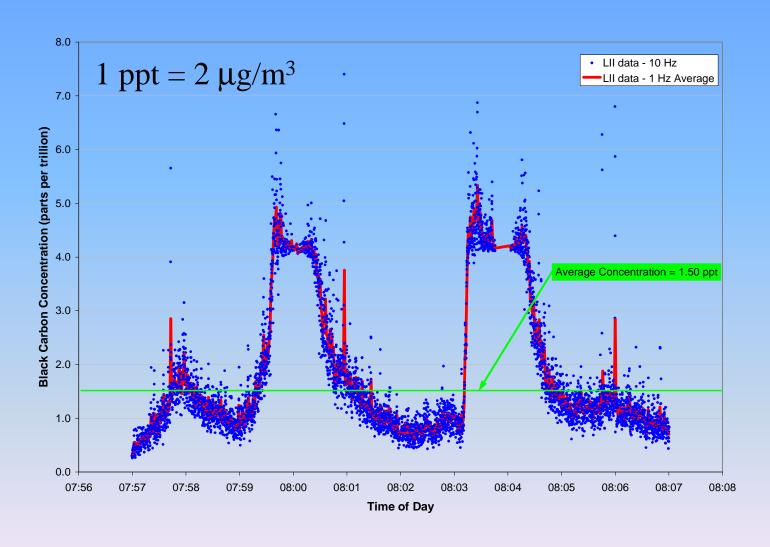


Variation of Soot Volume Fraction with Time (truck transits)





NRC Canada Very High Sensitivity LII





Turbine Engine (Helicopter) Tests at Wright Patterson AFB SAE E31



EPA Filters

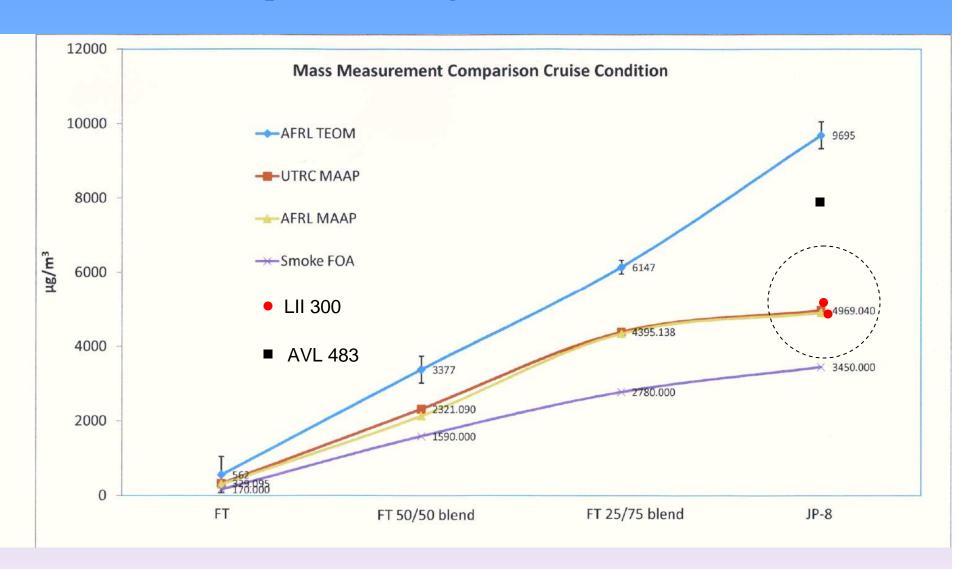


Turbine Engine (Helicopter) Tests at Wright Patterson AFB SAE E31





Data Acquired at Wright Patterson AFB (SAE E31)





Early Breadboard LII Instrument at NRC Canada





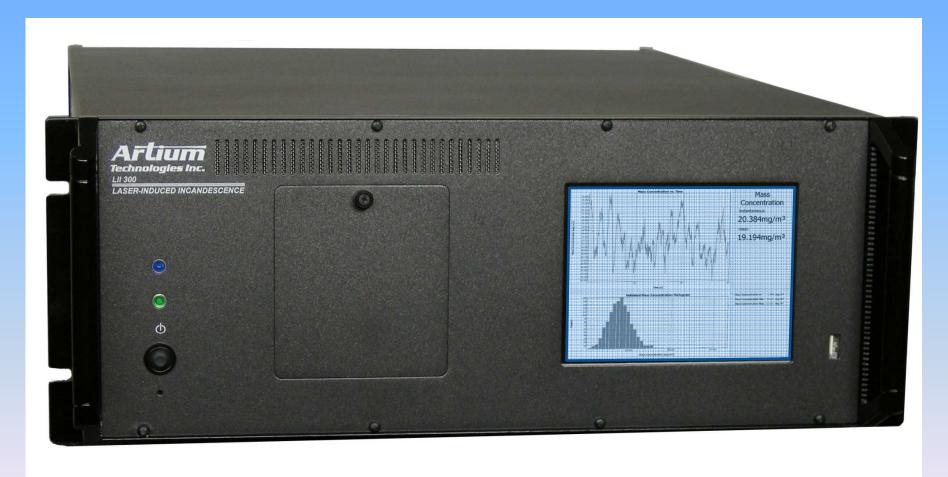
LII 200 Developed for Lab Use





LII 300 LASER-INDUCED INCANDESCENCE Instrument for Soot Characterization

Artium Technologies, Inc. provides the LII 300 system, the most advanced laser-induced incandescence instrument available in the market today.



Measures Soot Concentration (mass or volume basis), Specific Surface Area, and Primary Particle Diameter in Real-Time



Summary: LII Features

- in situ and nonintrusive
- signal is proportional to soot volume fraction
- spatially resolved measurements
- time resolved
- large measurement range
 - not limited by aggregate size
- high precision and repeatability
- high speed data acquisition and analysis

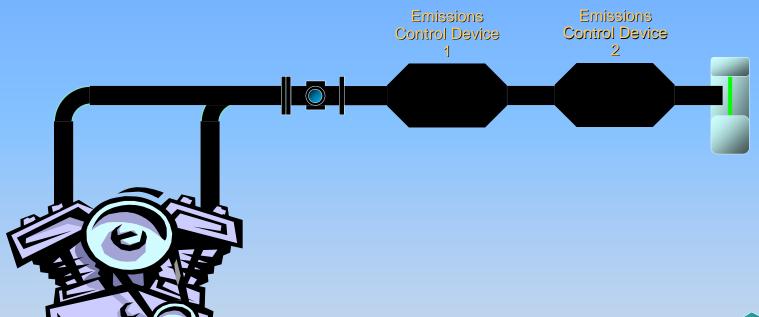


Summary LII Benefits:

- dilution of sample not required
- stable measurement of elemental carbon
- insensitive to presence of other species
- can operate at very low concentrations
- real-time results
- cycle-resolved measurements possible
- can provide particulate morphology (size, size distribution, number density) when combined with scattering



LII in Emissions Control Development



- LII provides sensitivity for post-2007 regulations (measures *microgram per cubic metre* concentration)
- Ideal for measuring engine-out / emissions-controlsystems-in particulate levels
- Evaluate emissions control system efficiency



LII Particulate Monitoring Instrument

Sealed enclosure

Sampling Hood

Develop, Evaluate, and Commercialize

Laser-Induced Incandescence (LII)

Systems for Online Exhau Particulate Material (PM) Monitoring





Thanks for your attention!

Questions or Comments?

Thanks again for
Development Support by California Air Resources Board
Innovative Clean Air Technology (ICAT) Grant 06-03